



DEPARTMENT: Compliance	POLICY DESCRIPTION: Notification of Government Investigation or Legal Proceeding
PAGE: : Page 1 of 2	POLICY #: CIA-05
REVISION DATES:	EFFECTIVE DATE: March 13, 2020

SCOPE

This policy is applicable to all company affiliated Centers and employees.

PURPOSE

To provide direction to employees or contractors of Diversicare regarding notification of any ongoing investigation or legal proceeding conducted or brought by a governmental entity or its agents involving an allegation that Diversicare has committed a crime or has engaged in fraudulent activity.

POLICY

Within 30 days after discovery, Diversicare shall notify the Office of Inspector General (“OIG”), in writing, of any ongoing investigation or legal proceeding known to Diversicare conducted or brought by a governmental entity or its agents involving an allegation that Diversicare has committed a crime or has engaged in fraudulent activities.

PROCEDURE

- A. Any employee or contractor who discovers an ongoing investigation or legal proceeding which is being conducted or brought by a governmental entity or its agents, must immediately notify the Center Administrator, Chief Compliance Officer, and Chief Legal Officer.
- B. The Chief Compliance Officer, in coordination with the Chief Legal Officer, will ensure that all relevant information is obtained and will provide written notice to the OIG of the investigation or legal proceeding within 30 days of initial discovery, if the determination is made that it is required.
- C. The notification to the OIG will include a description of the allegation, the identity of the investigating or prosecuting agency, and the status of such investigation or legal proceeding.
- D. Diversicare will also provide written follow-up to OIG within 30 days after the resolution of the matter along with a description of the findings and/or results of the investigation or proceeding, if any.

REFERENCES

Corporate Integrity Agreement



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